



Filing ID #10001106

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Bruce L. Braley
Status: Member
State/District: IA01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2013
Filing Date: 05/29/2014

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bruce Braley IRA ⇒ Blackrock Equity Dividend Instl. Cl		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒ Cohen & Steers Realty		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒ Columbia Mid Cap Value Cl A		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Davis New York Venture Cl A		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Eaton Vance Floating Rate & High Income Cl I		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒ EuroPacific Growth Cl F1		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Goldman Sachs Strategic Income Instl. Cl		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Growth Fund of America Cl F1					
Bruce Braley IRA ⇒ Hartford Mid Cap Cl A		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Insured Bank Program Money Market		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Invesco Balanced Risk Alloc. Cl Y		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒ Loomis Sayles Investment Grade Bond Cl Y		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒ Neuberger Berman Socially Responsive Trust Cl		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Pepsico, Inc. (PEP)		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Pimco All Asset All Authority Cl P		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒ Pimco Total Return Cl P		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Bruce Braley IRA ⇒ Templeton Global Bond Advisor Cl		\$15,001 - \$50,000	Tax-Deferred	None	<input checked="" type="checkbox"/>
Bruce Braley IRA ⇒ Thornburg International Value Cl A		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
Iowa Public Employees' Retirement System (IPERS)	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Veridian Credit Union CDs	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bruce Braley IRA ⇒ Akorn, Inc. (AKRX)		03/6/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ American Tower Corporation (AMT)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ American Water Works Company, Inc. (AWK)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Apple Inc. (AAPL)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ ARIAD Pharmaceuticals, Inc. (ARIA)		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Ashland Inc. (ASH)		05/3/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ AT&T Inc. (T)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Avago Technologies Limited - Ordinary Shares (AVGO)		01/7/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Avago Technologies Limited - Ordinary Shares (AVGO)		02/6/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Avis Budget Group, Inc. (CAR)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ BCE, Inc. (BCE)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Blackrock Equity Dividend Instl. Cl		06/3/2013	P	\$15,001 - \$50,000	
Bruce Braley IRA ⇒ Cadence Design Systems, Inc. (CDNS)		02/6/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Cadence Design Systems, Inc. (CDNS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cliffs Natural Resources Inc (CLF)					
Bruce Braley IRA ⇒ CMS Energy Corporation (CMS)		05/3/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ CMS Energy Corporation (CMS)		02/6/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ CMS Energy Corporation (CMS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Cohen & Steers Realty		06/3/2013	P	\$15,001 - \$50,000	
Bruce Braley IRA ⇒ Corrections Corporation of America (CXW)		05/3/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Corrections Corporation of America (CXW)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Covidien plc. Ordinary Shares (COV)		03/6/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Covidien plc. Ordinary Shares (COV)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Crown Castle International Corporation (CCI)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ CVS Caremark Corporation (CVS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Dean Foods Company (DF)		04/1/2013	P	\$1,001 - \$15,000	
DESCRIPTION: On 5/24/13, Dean Foods spun-off Whitewave A and Whitewave B shares. All three were sold on 5/30/13, each for less than \$1000.					
Bruce Braley IRA ⇒ Eaton Vance Floating Rate & High Income Cl I		06/3/2013	P	\$15,001 - \$50,000	
Bruce Braley IRA ⇒ EMC Corporation (EMC)		01/7/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ EMC Corporation (EMC)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bruce Braley IRA ⇒ Equinix, Inc. (EQIX)		04/1/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Equinix, Inc. (EQIX)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Goldman Sachs Strategic Income Instl Cl		06/3/2013	P	\$15,001 - \$50,000	
Bruce Braley IRA ⇒ Graphic Packaging Holding Company (GPK)		05/3/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Graphic Packaging Holding Company (GPK)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Halliburton Company (HAL)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Ingredion Incorporated (INGR)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Innophos Holdings, Inc. (IPHS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ International Paper Company (IP)		04/1/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ International Paper Company (IP)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Invesco Balanced Risk Alloc. Cl Y		06/3/2013	P	\$15,001 - \$50,000	
Bruce Braley IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY)		05/30/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ iShares 20 Year Treasury Bond ETF (TLT)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)		05/30/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bruce Braley IRA ⇒ iShares MSCI EAFE ETF (EFA)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ iShares TIPS Bond ETF (TIP)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Lions Gate Entertainment Corporation (LGF)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ LivePerson, Inc. (LPSN)		01/7/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Loomis Sayles Investment Grade Bond Cl Y		06/3/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ McGraw Hill Financial, Inc. (MHFI)		03/6/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Mentor Graphics Corporation (MENT)		03/6/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Mentor Graphics Corporation (MENT)		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Michael Kors Holdings Limited Ordinary Shares (KORS)		03/6/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Michael Kors Holdings Limited Ordinary Shares (KORS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ New Residential Investment Corp. (NRZ)		05/17/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: New Residential was spun-off from New Castle Investment on 5/16/13. New Castle was sold on 5/30/13 for less than \$1000.					
Bruce Braley IRA ⇒ NextEra Energy, Inc. (NEE)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ NPS Pharmaceuticals, Inc. (NPSP)		03/6/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ NPS Pharmaceuticals, Inc. (NPSP)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒		02/6/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NRG Energy, Inc. (NRG)					
Bruce Braley IRA ⇒ NRG Energy, Inc. (NRG)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Nu Skin Enterprises, Inc. (NUS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ NV Energy Inc		05/3/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: Stock was publicly traded at the time of the sale, but is no longer. CUSIP 67073Y-10-6					
Bruce Braley IRA ⇒ Ocwen Financial Corporation NEW (OCN)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Pimco All Asset All Authority Cl P		06/3/2013	P	\$15,001 - \$50,000	
Bruce Braley IRA ⇒ PolyOne Corporation (POL)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ QUALCOMM Incorporated (QCOM)		01/7/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Questcor Pharmaceuticals, Inc. (QCOR)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Reinsurance Group of America, Incorporated (RGA)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Rosetta Resources Inc. (ROSE)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ SBA Communications Corporation (SBAC)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Schlumberger N.V. (SLB)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Select Comfort Corporation (SCSS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Service Corporation International (SCI)					
Bruce Braley IRA ⇒ SPDR S&P 500 (SPY)		01/16/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ SPDR S&P 500 (SPY)		05/30/2013	S	\$15,001 - \$50,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Spectrum Pharmaceuticals, Inc. (SPPI)		03/6/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Steelcase Inc. (SCS)		01/16/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Steelcase Inc. (SCS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Synopsys, Inc. (SNPS)		03/6/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Templeton Global Bond Advisor Cl		06/3/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ The Finish Line, Inc. - Class A (FINL)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Timken Company (TKR)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Titan International, Inc. (TWI)		05/3/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Two Harbors Investment Corp (TWO)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ UGI Corporation (UGI)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ United Rentals, Inc. (URI)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ UnitedHealth Group Incorporated (UNH)		04/1/2013	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bruce Braley IRA ⇒ UnitedHealth Group Incorporated (UNH)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Universal Health Services, Inc. (UHS)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Verizon Communications Inc. (VZ)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ W.R. Grace & Co. (GRA)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Wal-Mart Stores, Inc. (WMT)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Whole Foods Market, Inc. (WFM)		01/7/2013	P	\$1,001 - \$15,000	
Bruce Braley IRA ⇒ Whole Foods Market, Inc. (WFM)		04/1/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bruce Braley IRA ⇒ Williams Companies, Inc. (WMB)		05/30/2013	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Waterloo Community School District	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Veridian Credit Union	June 2012	Mortgage on Waterloo, IA home (not rented)	\$100,001 - \$250,000
JT	Veridian Credit Union	September 2010	Mortgage on Brooklyn, IA home (not rented)	\$50,001 - \$100,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Community National Bank	January 2008	Home equity loan - Waterloo	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o Bruce Braley IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

The transactions in Schedule B are primarily due to the closing of a separately managed account.

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Mr. Bruce L. Braley , 05/29/2014